

Regional Greenhouse Gas Initiative CO₂ Budget Trading Program



Offset Project Monitoring and Verification Report Forms

Building Sector Energy Efficiency

Version 1.0

Issued by CT Department of Environmental Protection

www.ct.gov/dep

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Contents

1.	Overview.....	3
2.	Submission Instructions	3
3.	M&V Report Forms.....	3
	Form 1.1 – Coversheet.....	5
	Form 1.2 – General Information	6
	Form 1.3 – Attestations.....	7
	Form 1.4 – Project Sponsor Statement.....	8
	Form 1.5 – Disclosure of Greenhouse Gas Emissions Data Reporting.....	9
	Form 2.1 – Demonstration of Conformance with M&V Plan.....	10
	Form 2.2 – Determination of Emissions Reductions	11
	Form 3.1 – Independent Verifier Certification Statement and Report	12

1. Overview

To demonstrate the achievement of CO₂-equivalent emissions reductions from a building sector energy efficiency offset project that has received a consistency determination from the Connecticut Department of Environmental Protection (“Department”), a Project Sponsor must submit to the Department in accordance with instructions (see accompanying instructions packet) a fully completed *Offset Project Monitoring and Verification Report – Building Sector Energy Efficiency Version 1.0* (“M&V Report”), consisting of the coversheet and all forms and related attachments. Following the instructions will ensure that the M&V Report contains all necessary information and is submitted properly.

The Project Sponsor should review the CO₂ Budget Trading Program regulations at (section 22a-174-31a of the Regulations of Connecticut State Agencies (“RCSA”) addressing offset projects and the award of CO₂ offset allowances. All offset project submittal materials and documents are available at www.ct.gov/dep/cwp/view.asp?a=2684&Q=440696&depNav_GID=1619.

2. Submission Instructions

Please complete this form and supporting documents in accordance with section 22a-174-31a (www.ct.gov/dep/lib/dep/air/regulations/mainregs/22a-174-31a.pdf), the RGGI Model Rule (www.rggi.org/model_rule_key_documents_link) and accompanying instructions to ensure the proper handling of your application. These instructions are not a substitute for the requirements of the relevant statutes and any regulations thereunder. You should review all applicable laws prior to completing this application. Remember, it is your responsibility to comply with all applicable laws. There is no fee associated with the submission of this application.

Submit one (1) complete paper hardcopy original and one (1) electronic copy of the M&V Report in the form of a CD disk. The Model Rule requires that all offset project documentation, including *Monitoring and Verification Reports*, shall be signed by a Professional Engineer, identified by license number. Submit hardcopies of forms requiring signatures as originally-signed copies and scan such signed forms for electronic submission. Facsimiles of the M&V Report are not acceptable under any circumstances.

ATTN: PAULA GOMEZ
CO2 BUDGET TRADING PROGRAM
BUREAU OF AIR MANAGEMENT
CONNECTICUT DEPARTMENT OF ENVIRONMENTAL PROTECTION
79 ELM STREET
HARTFORD, CT 06106-5127

If you have any questions about this application, please contact Paula Gomez at paula.gomez@ct.gov or at (860) 424-3088.

The M&V Report has three parts, as described below. Each part comprises specified forms and required documentation. The M&V Report has been created as a Microsoft Word document with editable fields. Enter information directly in the fields provided or submit information or documentation as an attachment, as instructed. Include headers on all attachments indicating the form to which each is attached, and the offset project name, and offset project ID code.

The Project Sponsor should save an electronic copy for his or her file to serve as a reference for any necessary remediation.

3. M&V Report Forms

The M&V Report consists of eight (8) forms divided into three parts, as follows:

Part 1. General Information Forms

- Form 1.1 – Coversheet
- Form 1.2 – General Information
- Form 1.3 – Attestations
- Form 1.4 – Project Sponsor Statement
- Form 1.5 – Disclosure of Greenhouse Gas Emissions Data Reporting

Part 2. Category-Specific Information and Documentation Forms

- Form 2.1 – Demonstration of Conformance with M&V Plan
- Form 2.2 – Determination of Emissions Reduction

Part 3. Independent Verification Form

- Form 3.1 – Independent Verifier Certification Statement and Report

Note that although some of the forms include embedded instructions, Project Sponsors are advised to consult the accompanying instructions packet for full instructions and documentation requirements.

Offset Project Name

Offset Project ID Code

Form 1.1 – Coversheet

Project Sponsor (RGGI COATS Authorized Account Representative)

Project Sponsor Organization

Reporting Period

RGGI COATS General Account Name

RGGI COATS General Account Number

Check the boxes below to indicate submission of the following required forms:

- ☐ Form 1.2 – General Information
- ☐ Form 1.3 – Attestations
- ☐ Form 1.4 – Project Sponsor Statement
- ☐ Form 1.5 – Disclosure of Greenhouse Gas Emissions Data Reporting
- ☐ Form 2.1 – Demonstration of Conformance with M&V Plan
- ☐ Form 2.2 – Determination of Emissions Reduction
- ☐ Form 3.1 – Independent Verifier Certification Statement and Report

Offset Project Name

Offset Project ID Code

Form 1.2 – General Information

Project Sponsor (RGGI COATS Authorized Account Representative)

Telephone Number

Fax Number

Email Address

Street Address

City

State/Province

Postal Code

Country

RGGI COATS General Account Name

RGGI COATS General Account Number

Name of Offset Project

Project Commencement Date

Summary Description of Offset Project

Project City

Project County

Project State

Project Sponsor Organization

Primary Street Address

City

State/Province

Postal Code

Country

Brief Description of Project Sponsor Organization

Telephone Number

Website URL

Independent Verifier (Company/Organization)

States Where Verifier Accredited

Primary Street Address

Website URL

City

State/Province

Postal Code

Country

Point of Contact for Project

Contact Telephone Number

Contact Fax Number

Contact Email Address

Contact Street Address

City

State/Province

Postal Code

Country

Offset Project Name

Offset Project ID Code

Form 1.3 – Attestations

The undersigned offset Project Sponsor certifies the truth of the following statements:

1. All offset projects for which the Project Sponsor has received CO₂ offset allowances, under the Project Sponsor's or project sponsor organization's ownership or control (or under the ownership or control of any entity which controls, is controlled by, or has common control with the Project Sponsor or project sponsor organization) are in compliance with all applicable requirements of the CO₂ Budget Trading Program in all participating states.
2. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this *M&V Report* and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Signature of Project Sponsor

_____/_____/_____
Date (MM/DD/YYYY)

Name of Project Sponsor (printed)

Title

Organization

Notary

Signature of Licensed Professional Engineer

Name of Licensed Professional Engineer

License Number(s)

License State(s)

Offset Project Name

Offset Project ID Code

Form 1.4 – Project Sponsor Statement

The undersigned Project Sponsor hereby confirms and attests that the offset project upon which this *Monitoring and Verification Report* is based is in full compliance with all of the requirements of section 22a-174-31a of the RCSA. The Project Sponsor holds the legal rights to the offset project, or has been granted the right to act on behalf of a party that holds the legal rights to the offset project. The Project Sponsor understands that eligibility for the award of CO₂ offset allowances under section 22a-174-31a of the RCSA is contingent on meeting the requirements of section 22a-174-31a of the RCSA. The Project Sponsor authorizes the Department or its agent to audit this offset project for purposes of verifying that the offset project, including the Monitoring and Verification Plan, has been implemented as described in the *Consistency Application* that was the subject of a consistency determination by the Department. The Project Sponsor understands that this right to audit shall include the right to enter the physical location of the offset project and to make available to the Department or its agent any and all documentation relating to the offset project at the Department's request. The Project Sponsor submits to the legal jurisdiction of Connecticut.

Signature of Project Sponsor

_____/_____/_____
Date (MM/DD/YYYY)

Name of Project Sponsor (printed)

Title

Organization

Notary

Offset Project Name

Offset Project ID Code

Form 1.5 – Disclosure of Greenhouse Gas Emissions Data Reporting

Check the box below that applies:

- ☐ No greenhouse gas emissions data related to the offset project referenced in this *M&V Report* have been or will be reported to a voluntary or mandatory program other than the CO₂ Budget Trading Program.
- ☐ Greenhouse gas emissions data related to the offset project referenced in this *M&V Report* have been or will be reported to a voluntary or mandatory program other than the CO₂ Budget Trading Program. Information for all such programs to which greenhouse gas emissions data have been or will be reported is provided below.

Name of Program to which GHG Emissions Data Reported

Check all that apply:

- ☐ Reporting is currently ongoing
☐ Reporting was conducted in the past
☐ Reporting will be conducted in the future
☐ Reporting is mandatory
☐ Reporting is voluntary

Enter Frequency of Reporting

Enter Reporting Start Date

Program Contact Information – Address

Program Website

Categories of Emissions Data Reported

Name of Program to which GHG Emissions Data Reported

Check all that apply:

- ☐ Reporting is currently ongoing
☐ Reporting was conducted in the past
☐ Reporting will be conducted in the future
☐ Reporting is mandatory
☐ Reporting is voluntary

Enter Frequency of Reporting

Enter Reporting Start Date

Program Contact Information – Address

Program Website

Categories of Emissions Data Reported

Add extra pages as needed.

Offset Project Name

Offset Project ID Code

Form 2.1 – Demonstration of Conformance with M&V Plan

Attach documentation that procedures and protocols specified in the M&V Plan were performed and records specified in the M&V Plan were generated and retained. Each attachment must include a header that indicates it is an attachment to Form 2.1 and includes the offset project name and offset project ID code.

Check the boxes below to indicate that the following required documentation is attached:

- ☐ 1. **Documentation of Project Implementation (required only for first M&V Report, if applicable).**
Documentation of project implementation for projects not completed as of submission of *Consistency Application*, including:
- ☐ A. Final post-installation equipment and materials specifications for as-installed offset project
 - ☐ B. Final post-installation building plans and technical schematics representing as-installed offset project
 - ☐ C. Final post-installation documentation of ECM installation for as-installed offset project
 - ☐ D. Confirmation of application of design intent statement for HVAC installation best practice (as applicable)
- ☐ 2. **Quality Assurance/Quality Control (QA/QC) Report.** Report documenting QA/QC of project monitoring and documentation during the reporting period.

Offset Project Name

Offset Project ID Code

Form 2.2 – Determination of Emissions Reductions

Provide documentation of reporting period energy use and CO₂ emissions reductions where indicated in the form or as an attachment, as directed below. Each attachment must include a header that indicates it is an attachment to Form 2.2 and includes the offset project name and offset project ID code.

	<i>Natural Gas</i>	<i>Propane</i>	<i>Fuel Oil</i>	<i>Kerosene</i>	<i>Total</i>
Baseline fuel use	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Post-installation use	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Annual energy use savings	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Emissions factor	116.98	139.04	161.27	159.41	
Oxidation factor	0.995	0.995	0.99	0.99	
Emissions reductions	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Check the boxes below to indicate that the following required documentation is attached:

- ☐ 1. **Documentation of Energy Monitoring Approach.** Narrative documentation of energy monitoring procedures used during reporting period to determine energy use for each building included in the offset project. If project eligible for use of representative sampling, copy of sampling protocol (for projects implementing similar ECMs in multiple residential buildings).
- ☐ 2. **Reporting Year Energy Use Data.** Documentation of energy use for each building included in offset project.
- ☐ 3. **Isolation of Energy Use.** Documentation of isolation of energy use for each end-use or building system targeted by eligible ECMs.
- ☐ 4. **Adjustments to Reporting Year Energy Use.** Documentation of adjustments to address operating and weather conditions, as applicable.
- ☐ 5. **Total Reporting Year Energy Use.** Spreadsheet documenting calculation of total reporting year energy use.
- ☐ 6. **Reporting Year CO₂ Emissions.** Spreadsheet documenting calculation of reporting year CO₂ emissions

Offset Project Name

Offset Project ID Code

Form 3.1 – Independent Verifier Certification Statement and Report

An accredited verifier and licensed professional engineer must sign and date the form. Attach the accredited verifier report. The attached accredited verifier report must include a header that indicates it is an attachment to Form 3.1 and includes the offset project name and offset project ID code.

Name of Accredited Independent Verifier

I certify that the accredited independent verifier identified above reviewed this *M&V Report*, including all forms and attachments, in its entirety, including a review of the following:

1. The verifier has reviewed the entire *M&V Report* and evaluated the contents of the report in relation to the applicable requirements of section 22a-174-31a of the RCSA and the required documentation that must be provided in the *M&V Report*.
2. The verifier has evaluated the adequacy and validity of information supplied by the Project Sponsor to determine CO₂-equivalent emissions reductions in accordance with subsection 22a-174-31a(g) of the RCSA and the required documentation that must be provided in the *M&V Report*.
3. The verifier has evaluated the adequacy and consistency of methods used by the Project Sponsor to quantify, monitor, and verify CO₂-equivalent emissions reductions in accordance with subsection 22a-174-31a(g) of the RCSA and the Monitoring and Verification Plan submitted as part of the *Consistency Application*.

A verification report is attached that documents the verifier's review of the items listed above and includes evaluation conclusions and findings.

Signature of Verifier Representative

Date (MM/DD/YYYY)

Name of Verifier Representative (printed)

Title

Notary

Signature of Licensed Professional Engineer

Name of Licensed Professional Engineer

License Number(s)

License State(s)